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Docket No.: 028750.0026-US04  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Andre Lavoie *et al.*

Application No.: 10/798,121

Group Art Unit: 2178

Filed: March 11, 2004

Examiner: M. J. Ludwig

For: FINANCIAL DISCLOSURE CONTENT  
SYNCHRONIZING SOFTWARE

**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Power of Attorney and Correspondence Address Indication Form;
2. Statement Under 37 CFR 3.73(b);
3. Copy of Power of Attorney Granted by Thomson Financial LLC to David M. Rosenblatt; and
4. Return receipt postcard.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Order No. 028750.0026-US04. A duplicate copy of this paper is enclosed.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 50-0740.

Dated: September 28, 2006

Respectfully submitted,

By 

Andrea G. Reister

Registration No.: 36,253

COVINGTON & BURLING

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(202) 662-6000

Attorneys for Applicant



PTO/SB/81 (01-05)

Approved for use through 12/31/2008. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| <b>POWER OF ATTORNEY<br/>and<br/>CORRESPONDENCE ADDRESS<br/>INDICATION FORM</b>   | Application Number   | 10/798,121-Conf. #8801   |  |  |      |                     |      |                     |  |  |  |  |
|---|--|--|--|--|------|---------------------|------|---------------------|--|--|--|--|
|   | Filing Date  | March 11, 2004   |  |  |      |                     |      |                     |  |  |  |  |
|   | First Named Inventor   | Andre Lavoie   |  |  |      |                     |      |                     |  |  |  |  |
|   | Title  | FINANCIAL DISCLOSURE CONTENT<br>SYNCHRONIZING SOFTWARE                               |  |  |      |                     |      |                     |  |  |  |  |
|   | Art Unit   | 2178   |  |  |      |                     |      |                     |  |  |  |  |
|   | Examiner Name  | M. J. Ludwig   |  |  |      |                     |      |                     |  |  |  |  |
|   | Attorney Docket No.  | 028750.0026-US04   |  |  |      |                     |      |                     |  |  |  |  |
| I hereby revoke all previous powers of attorney given in the above-identified application.  |  |  |  |  |      |                     |      |                     |  |  |  |  |
| I hereby appoint:   |  |  |  |  |      |                     |      |                     |  |  |  |  |
| <input checked="" type="checkbox"/> Practitioners associated with the Customer Number: <span style="border: 1px solid black; padding: 2px;">26853</span>  |  |  |  |  |      |                     |      |                     |  |  |  |  |
| OR  |  |  |  |  |      |                     |      |                     |  |  |  |  |
| <input type="checkbox"/> Practitioner(s) named below:   |  |  |  |  |      |                     |      |                     |  |  |  |  |
| <table border="1"><thead><tr><th>Name</th><th>Registration Number</th><th>Name</th><th>Registration Number</th></tr></thead><tbody><tr><td> </td><td> </td><td> </td><td> </td></tr></tbody></table>  |  |  |  |  | Name | Registration Number | Name | Registration Number |  |  |  |  |
| Name  | Registration Number  | Name   | Registration Number  |  |      |                     |      |                     |  |  |  |  |
|   |  |  |  |  |      |                     |      |                     |  |  |  |  |
| as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.                   |  |  |  |  |      |                     |      |                     |  |  |  |  |
| Please recognize or change the correspondence address for the above-identified application to:  |  |  |  |  |      |                     |      |                     |  |  |  |  |
| <input checked="" type="checkbox"/> The address associated with the above-mentioned Customer Number:  |  |  |  |  |      |                     |      |                     |  |  |  |  |
| OR  |  |  |  |  |      |                     |      |                     |  |  |  |  |
| <input type="checkbox"/> The address associated with Customer Number: <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>      |  |  |  |  |      |                     |      |                     |  |  |  |  |
| OR  |  |  |  |  |      |                     |      |                     |  |  |  |  |
| <input type="checkbox"/> Firm or Individual Name <span style="border: 1px solid black; padding: 2px;">Covington &amp; Burling<br/>Attn: Patent Docketing</span>                                       |  |  |  |  |      |                     |      |                     |  |  |  |  |
| Address <span style="border: 1px solid black; padding: 2px;">1201 Pennsylvania Avenue, NW</span>  |  |  |  |  |      |                     |      |                     |  |  |  |  |
| City <span style="border: 1px solid black; padding: 2px;">Washington</span>   |  | State <span style="border: 1px solid black; padding: 2px;">DC</span>                 | Zip <span style="border: 1px solid black; padding: 2px;">20004-2401</span> |  |      |                     |      |                     |  |  |  |  |
| Country <span style="border: 1px solid black; padding: 2px;">USA</span>   | Telephone <span style="border: 1px solid black; padding: 2px;">202.662.6000</span> | Email  |  |  |      |                     |      |                     |  |  |  |  |
| I am the:   |  |  |  |  |      |                     |      |                     |  |  |  |  |
| <input type="checkbox"/> Applicant/Inventor.  |  |  |  |  |      |                     |      |                     |  |  |  |  |
| <input checked="" type="checkbox"/> Assignee of record of the entire interest. See 37 CFR 3.71.<br>Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)                                       |  |  |  |  |      |                     |      |                     |  |  |  |  |
| SIGNATURE of Applicant or Assignee of Record  |  |  |  |  |      |                     |      |                     |  |  |  |  |
| Signature <span style="border: 1px solid black; padding: 2px;"><i>David M. Rosenblatt</i></span>  |  | Date <span style="border: 1px solid black; padding: 2px;">June 12, 2006</span>       |  |  |      |                     |      |                     |  |  |  |  |
| Name <span style="border: 1px solid black; padding: 2px;">David M. Rosenblatt</span>  |  | Telephone <span style="border: 1px solid black; padding: 2px;">(203) 539-8738</span> |  |  |      |                     |      |                     |  |  |  |  |
| Title and Company <span style="border: 1px solid black; padding: 2px;">Attorney-in-Fact — Thomson Financial LLC</span>  |  |  |  |  |      |                     |      |                     |  |  |  |  |
| NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below. |  |  |  |  |      |                     |      |                     |  |  |  |  |
| <input type="checkbox"/> *Total of <u>1</u> forms are submitted.  |  |  |  |  |      |                     |      |                     |  |  |  |  |



PTO/SB/86 (12-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Thomson Financial LLC

Application No./Patent

No./Control No.:

10/798,121

Filed/Issue Date:

March 11, 2004Entitled: FINANCIAL DISCLOSURE CONTENT SYNCHRONIZING SOFTWAREThomson Financial LLC

(Name of Assignee)

, a

corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Lavoie, Andre, et al. To: CCBN.COM, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 015839, Frame 0006, or for which a copy thereof is attached.
2. From: CCBN.COM, Inc. To: Thomson Financial LLC  
The document was recorded in the United States Patent and Trademark Office at  
Reel 017656, Frame 0321, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

David M. Rosenblatt  
SignatureDavid M. Rosenblatt  
Printed or Typed NameAttorney-in-Fact — Thomson Financial LLC  
TitleJune 1, 2006  
Date(203) 539-8738  
Telephone Number

# THOMSON FINANCIAL LLC

## POWER OF ATTORNEY

Thomson Financial LLC (the "Company"), a limited liability company organized under the laws of Delaware, United States of America and whose registered office is at 2711 Centerville Road, Suite 400, Wilmington, Delaware 19808, United States of America, hereby appoints

David Rosenblatt  
The Thomson Corporation  
Metro Center  
One Station Place  
Stamford, Connecticut 06902 USA

Mark Stignani  
West  
610 Opperman Drive  
Eagan, Minnesota 55123 USA

to act as its Attorney-in-Fact in its name and on its behalf to generally approve, execute, sign, seal and deliver all and any patents, patent applications and any related documents or other instruments whatsoever that would fall to be approved, executed, signed, sealed and delivered on the Company's behalf and to do all such acts and things which the Attorney-in-Fact shall consider to be necessary or desirable in connection with or for the purposes of preparing, executing and filing of patents, patent applications and any other related documents on behalf of the Company or any of the Company's divisions.

This Power of Attorney shall become effective upon its signing and shall expire on September 30, 2006, unless earlier revoked at any time by the undersigned.

This Power of Attorney shall be governed by and construed in accordance with the laws of the State of Connecticut without reference to provisions governing choice of law.

Dated: May 1, 2006

THOMSON FINANCIAL LLC

By: Edward A. Friedland  
Edward A. Friedland  
Vice President

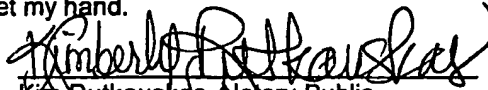
STATE OF CONNECTICUT:

ss: Stamford

COUNTY OF FAIRFIELD :

On this 1<sup>st</sup> day of May, 2006, before me, Kim Rutkauskas, the undersigned officer, personally appeared Edward A. Friedland, who acknowledged himself to be the Vice President of Thomson Financial LLC and that he as such Vice President Counsel being authorized so to do, executed the foregoing instrument for the purposes therein contained, by signing the name of the Company by himself as Vice President.

IN WITNESS WHEREOF, I hereunto set my hand.

  
Kim Rutkauskas, Notary Public

**KIMBERLY RUTKAUSKAS**  
**NOTARY PUBLIC**  
State of Connecticut  
My Commission Expires Feb. 28, 2011